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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	Bei 637-KGB	Total Pages	PTO
	First Named Inventor or Application Identifier			
	Heinrich Gers-Barlag, et al.			
Express Mail Label No.		EH976287379US		

09/17/00 09/17/00 09/17/00

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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- Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
- Specification [Total Pages 46]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) *(35 USC 113)* [Total Sheets ]
- Oath or Declaration [Total Pages ]
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
*(Note Box 6 below)*
    - DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Incorporation By Reference *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- Microfiche Computer Program *(Appendix)*
- Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - Computer Readable Copy
  - Paper Copy (identical to computer copy)
  - Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS	
8. <input checked="" type="checkbox"/>	Assignment Papers (cover sheet & document(s))
9. <input type="checkbox"/>	37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>
10. <input type="checkbox"/>	English Translation Document <i>(if applicable)</i>
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17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

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 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 690.00

### Complete if Known

Application Number	TBA
Filing Date	Herewith
First Named Inventor	Heinrich Gers-Barlag, et al.
Examiner Name	TBA
Group / Art Unit	TBA
Attorney Docket No.	Be1 637-KGB

### METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

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### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____			
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	690
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 690.

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
11	-20** =	0	0
2	-3** =	0	0
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim, if not paid	
109 78	209 39	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0

### SUBMITTED BY

Name (Print/Type)	Kurt G. Briscoe	Registration No (Attorney/Agent)	33,141	Telephone	914 332 1700
Signature		Date	8/17/00		

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Attorney Docket No.: Beiersdorf 637-KGB  
: 6713-Dr.Rs-ar

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Heinrich Gers-Barlag, et al.  
Serial No. : To Be Assigned  
Filed : Herewith  
For : HYDROUS COSMETIC OR PHARMACEUTICAL STICKS  
Art Unit : To Be Assigned  
Examiner : To Be Assigned

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August 17, 2000

Hon. Assistant Commissioner  
for Patents  
Washington, D. C. 20231

**PRELIMINARY AMENDMENT**

Sir:

Prior to examination, please amend the above-identified application as follows:

**IN THE CLAIMS:**

Please amend the claims as follows:

004780"2284360

3. (Amended) Preparation according to Claim 1 [or 2], characterized in that the water phase content is chosen from the range from 15 to 60% by weight, based on the total weight of the preparations.
4. (Amended) Preparation according to Claim 1 [one of the preceding claims], characterized in that further cosmetic or pharmaceutical auxiliaries, additives and/or active ingredients are present.
5. (Amended) Preparation according to Claim 1 [one of the preceding claims], characterized in that the content of modified phyllosilicate particles used is between 0.1% by weight and 30% by weight, based on the total weight of the preparations.
6. (Amended) Preparation according to Claim 1 [one of the preceding claims], characterized in that the modified phyllosilicate(s) is/are chosen from the group which includes modified smectites, modified bentonites, modified montmorillonites and modified hectorites, in particular from the group consisting of stearylalkonium hectorite and quaternium-18 hectorite.
7. (Amended) Preparation according to Claim 1 [one of the preceding claims], characterized in that, in addition to one or more modified phyllosilicates, further pigments are present, in particular modified polysaccharides and/or microfine polymer particles and/or boron nitride and/or micronized, inorganic pigments which are chosen from the group of amphiphilic metal oxides, in particular from the group consisting of titanium dioxide, zinc oxide, iron oxides or iron mixed oxides, silicon dioxide or silicates, where the pigments can be present either individually or in a mixture.

004780"2204950

8. (Amended) Preparation according to Claim 1 [one of the preceding claims], characterized in that it is in the form of a make-up and/or cosmetic stick, in particular an eyebrow pencil, kohl pencil, eyeshadow pencil, eyeliner pencil, concealer stick, powder stick or decorative and/or care lipstick, and additionally comprises at least one dye and/or one colour pigment.
9. (Amended) Preparation according to Claim 1 [one of the preceding claims], characterized in that it comprises one or more additives or active ingredients from the group of astringents and/or antioxidants and/or UV filter substances and/or antimicrobial substances and/or substances which are effective against acne.

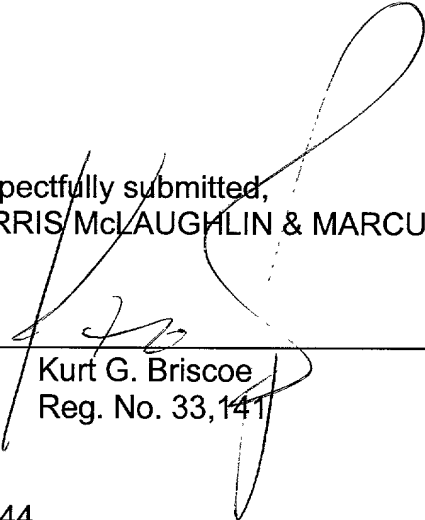
Please add the following new claim:

- 11. A method comprising applying to the body a preparation according to claim 1. --

REMARKS

The foregoing amendment serves to eliminate multiple dependency, and to add a method of use claim.

Respectfully submitted,  
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**Description**

**Hydrous cosmetic or pharmaceutical sticks**

10 The present invention relates to cosmetic or pharmaceutical sticks which are in the form of W/O emulsions and which are characterized by an increased water content. In particular, the invention relates to decorative lipsticks and lipcare sticks, but also to deodorizing or antiperspirant sticks, and to stick formulations which are suitable, for example, for use against acne. As further advantageous embodiments, the present  
15 invention relates to sunscreen sticks and so-called make-up or cosmetic sticks, such as, for example, eyebrow pencils, kohl pencils, eyeshadow pencils, eyeliner pencils, concealer sticks, powder sticks and comparable products.

In cosmetics, there are predominantly three fields of application for stick formulations: the  
20 fields of lipcare and deodorants and the field of cosmetic sticks, which are a particular application form of make-up preparations.

Lipsticks nowadays include two product classes: conventional lipsticks, which primarily serve to colour the lips, and mostly colourless care sticks, which are used either for  
25 greasing the lips or for sun protection.

The skin of the lips has only an extremely thin horny layer. There are no sweat glands on the lips, and only a few sebaceous glands. The skin on the lips is therefore virtually free from lipids and is prone to drying out, particularly in cold and dry weather. It is possible  
30 for small cracks to form in the skin, and the susceptibility of the lips to chemical, physical and microbial factors (e.g. foods, sunlight, Herpes simplex viruses) increases.

One purpose of lipcare sticks is to prevent this. These products usually contain a high proportion of waxes and fatty components which form a covering layer on the lips  
35 following application.

It is possible to additionally incorporate into care stick preparations active ingredients which care for or protect the lips, such as, for example, vitamins, light protection agents, covering pigments etc.

- 5 In contrast, decorative lipsticks contain many different colour pigments. The dyes are primarily chosen with the aim of achieving a shade of colour which is currently fashionable. In this connection, however, it must be observed that all dyes and pigments for lipsticks are subject to legal provisions with respect to their use, in Germany, for example, the directive on cosmetic products. Lipsticks are among the most used  
10 decorative cosmetic products. Their practical form permits repeated application and restoration of the colour and of the protective film throughout the day.

Decorative lipsticks also comprise high proportions of waxes and fatty components which, following application, form a covering lipid layer over the lips. This lipid layer  
15 serves as a base, which adheres to the lips, for the incorporated dyes, since the latter, for various reasons, cannot be applied to the lips without such a base.

It is also possible to combine the properties of the care and decorative lipsticks with one another, i.e. to incorporate care or protective substances in decorative lipsticks.  
20

High requirements are placed on a good lipstick with regard to its quality. These are firstly application properties, but secondly also legal provisions which, for example, govern the use of dyes.

- 25 The consumer expects a lipstick to have a clean, smooth and glossy surface. In addition, neither oil droplets nor wax crystals should settle out. Application must also be possible without problems. In particular, it should be possible to apply lipstick smoothly and without large frictional resistance. Even when pressed lightly, the stick should produce a well-adhering fatty film on the lips. The film achieved must cover the lips well, stay on for  
30 as long as possible and not be sticky, and also impart shine to the lips. Moreover, lipsticks should be unbreakable and temperature-resistant, and the formulations must not lose oil. In addition, for decorative lipsticks, high adhesive strength of the colour on the lips is desirable, i.e. they should colour the lips intensely and such that they cannot be wiped off easily.

Many make-up formulations, such as, for example, eyebrow and kohl pencils etc., are also supplied in the form of sticks – for example in the style of lead pencils. For defining and colouring eyebrows, use is usually made of formulations in which the colouring pigments are incorporated in a wax/oil base. The finished dye/wax composition is generally extruded as lead and formulated into pencils made of red cedarwood. In principle, the consumer places the same requirement on decorative eyecare pencils as on corresponding lipcare products.

A common feature of all decorative cosmetics is that they comprise greater or lesser amounts of dyes or colour pigments. The dyes can be present as constituents of true solutions, or in the form of pigment dispersions. The combination of soluble dyes with insoluble pigments is also used. The base of decorative cosmetics can be very different, but although in principle all possibilities are available, anhydrous, lipophilic systems are mainly chosen as bases.

In contrast, a deodorant stick or an antiperspirant stick is expected not only to be effective, but, in particular, not to produce a greasy feel when applied to the underarms.

If cosmetic or pharmaceutical sticks are to contain certain active ingredients, it is conceivable for the other constituents to be incompatible with the active ingredients. This is often the case particularly when the intention is to use the cosmetic sticks as deodorant sticks. Antiperspirant sticks usually contain, for example, aluminium chlorohydrate, which is a strong Lewis acid and cannot be used for many of the stick formulations.

From a technical point of view there are, in particular, two ways of formulating sticks which are of particular importance:

Anhydrous fatty mixtures of solid or semisolid waxes and liquid oils are generally used as lipstick bases and in the field of make-up sticks. Customary prior art bases for stick preparations of this type are, for example, liquid oils (e.g. paraffin oils, castor oil, isopropyl myristate), semisolid constituents (e.g. Vaseline, lanolin), solid constituents (e.g. beeswax, ceresin and microcrystalline waxes or ozokerite) and high-melting waxes (e.g. carnauba wax, candelilla wax). In the field of lipcare, however, hydrous preparations are also known, which are sometimes also in the form of W/O emulsions. Necessary ingredients of emulsion stick preparations of the prior art are emulsifiers, such as, for



example, polyglyceryl-3 diisostearate or polyglyceryl-3 oleate (EP-600 931-B2 and EP-617 952-B2) or lecithin (EP-522 624-A1). Such stick formulations are generally characterized by a water content of markedly less than 10% by weight.

5 Lipsticks, kohl pencils and eyebrow pencils of the prior art containing paraffins and beeswax or japan wax are described in "*Kosmetik, Entwicklung Herstellung und Anwendung kosmetischer Mittel*" [Cosmetics, Development Preparation and Use of Cosmetic Compositions], Editor: W. Umbach, Georg Thieme Verlag, Stuttgart - New York, 1995, p. 321 ff.

10

By contrast, the bases used for deodorizing sticks are generally soap/glycol gels. These form when lower glycols and glycerol, in the presence of sodium stearate, form clear, transparent gels which can additionally absorb alcohol and water. However, such formulations are incompatible with aluminium chlorohydrate.

15

The prior art has a number of disadvantages. These include the fact that lipophilic stick formulations can usually comprise only a very small amount of water since otherwise the stick consistency is lost. Since water-soluble active ingredients are generally insufficiently soluble in fats, they cannot be incorporated into lipophilic cosmetic bases to any significant extent. However, a certain water content is certainly required in a stick formulation, including in particular to increase the compatibility of the stick with the human skin. However, because water is incompatible with the hydrophobic oil/wax/emulsifier matrix, sticks with high water contents cannot be made in accordance with the prior art.

25

An object of the present invention was to overcome these shortcomings.

A further object of the present invention was to develop preparations which are suitable as bases for cosmetic deodorants and antiperspirants and do not have the disadvantages of the prior art and which are further characterized by good skin compatibility.

30

Since the skin in the lip area is virtually completely devoid of pigments, it is extremely sensitive to ultraviolet radiation. It is therefore advisable to apply to the lip area special protection against UV radiation in the form of suitable stick light protection preparations, particularly in conditions of increased UV exposure, such as, for example, in high

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mountains. Even in stick preparations of the prior art, therefore, inorganic pigments are often used as UV absorbers or UV reflectors to protect the lip area against UV rays. These are, in particular, oxides of titanium, but also occasionally of zinc, iron, zirconium, silicon, manganese, aluminium, cerium and mixtures thereof, and modifications.

5

A significant shortcoming of the prior art formulations is, however, that, because of the low water contents of emulsion sticks acceptable per se, it was virtually impossible to incorporate water-soluble UV filter substances into such formulations. A further object of the present invention was thus also to overcome this shortcoming.

10

DBP 23 35 549 discloses a method for the preparation of a cosmetic stick based on a W/O emulsion. According to this teaching, a polyhydroxy compound and a nonionogenic, surface-active compound are used to prepare a gel, which is mixed with a cosmetic base, and water is emulsified into the mixture.

15

However, this method cannot be used to prepare sticks which have the universally applied requirements of a cosmetic stick. Moreover, since this method is not a one-step method, it is characterized by further disadvantages.

20

DE-A 41 28 748 describes cosmetic sticks which are characterized in that they are emulsions and comprise, as essential constituents, beeswax, one or more esters of a saturated carboxylic acid having 20 - 40 carbon atoms and a saturated alcohol having 14 - 34 carbon atoms, water and optionally further lipids and/or customary auxiliaries and additives. Although these preparations do have advantageous properties, the effect achieved still falls some way short of the desired effect.

25

Emulsions are by far the most important product type in the field of skincare products. Emulsions are dispersed two- or multi-phase systems, cosmetic emulsions consisting of at least one fatty phase (fats and mineral oils, fatty acid esters, fatty alcohols etc.) and at least one water phase (water, glycerol, glycols etc.), which are finely distributed in one another using emulsifiers.

30

In order to achieve the permanent dispersion of one liquid in another, in the case of emulsions in the traditional sense, the addition of an interface-active substance (emulsifier) is necessary. Emulsifiers have an amphiphilic molecular structure, consisting of a polar (hydrophilic) and a nonpolar (lipophilic) molecular moiety, which are spatially

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separate from one another. In simple emulsions, finely disperse droplets of one phase (water droplets in W/O emulsions or lipid vesicles in O/W emulsions), surrounded by an emulsifier shell, are present in a second phase. Emulsifiers reduce the interfacial tension between the phases by positioning themselves at the interface between the two liquids.

5 At the oil/water phase boundary they form interfacial films, which prevent irreversible coalescence of the droplets. Emulsions are frequently stabilized using emulsifier mixtures.

10 Depending on their hydrophilic molecular moiety, traditional emulsifiers can be categorized into ionic (anionic, cationic and amphoteric) and nonionic:

- The best-known example of an anionic emulsifier is soap, a term usually used to refer to the water-soluble sodium or potassium salts of saturated or unsaturated higher fatty acids.
- The quaternary ammonium compounds are important representatives of cationic emulsifiers.
- The hydrophilic molecular moiety of nonionic emulsifiers often consists of glycerol, polyglycerol, sorbitans, carbohydrates or polyoxyethylene glycols and is usually linked to the lipophilic molecular moiety via ester and ether bonds. The lipophilic molecular moiety usually consists of fatty alcohols, fatty acids or isofatty acids.

15  
20 By varying the structure and the size of the polar and of the nonpolar molecular moiety, the lipophilicity and hydrophilicity of emulsifiers can be varied within wide limits.

A decisive factor for the stability of a (traditional) emulsion is the correct choice of emulsifiers. Here, the characteristics of all substances present in the system are to be taken into account. If, for example, skincare emulsions are considered, then polar oil components and, for example, UV filters lead to instability. As well as the emulsifiers, therefore, other stabilizers are generally also used which, for example, increase the viscosity of the emulsion and/or act as protective colloid.

25  
30 The use of customary emulsifiers in cosmetic or dermatological preparations is not questionable per se. Nevertheless, emulsifiers, like ultimately any chemical substance, may, in individual cases, cause allergic reactions or reactions based on hypersensitivity of the user.

35 Thus, for example, it is known that certain light dermatoses are triggered by certain emulsifiers, but also by a variety of fats and simultaneous exposure to sunlight. Such

light dermatoses are also called "Mallorca acne". There has thus been no lack of attempts to reduce the amount of customary emulsifiers to a minimum, ideally even completely.

- 5 A reduction in the required amount of emulsifier can, for example, be achieved by taking advantage of the fact that very finely divided solid particles have an additionally stabilizing action. This leads to an accumulation of the solid substance at the oil/water phase boundary in the form of a layer, as a result of which coalescence of the disperse phases is prevented. The solid particles form, so to speak, a mechanical barrier against  
10 the combining of the liquid droplets at the interface between the two liquid phases.

One way of achieving solids stabilization in a cosmetic or dermatological preparation is, according to May-Alert (*Pharmazie in unserer Zeit* [Pharmacy in our Time], Vol. 15, 1986, No. 1, 1-7), for example, to use emulsifier mixtures which comprise both anionic and  
15 cationic surfactants. Since mixing anionic and cationic surfactants produces precipitates of insoluble, electroneutral compounds, deliberate precipitation of these neutral surfactants in the oil/water interface makes it possible to achieve additional solids stabilization. However, these emulsions have the disadvantage that the amount of customary emulsifiers is not actually reduced.

20 Emulsions which are (additionally) stabilized by solids are also referred to as Pickering emulsions after their original inventor.

Pickering emulsions of the prior art – like traditional emulsions – cannot be formulated as  
25 sticks since they are in liquid or, at best, viscous form. They are therefore used in the field of skincare, for example as skin or face cream, cleansing milk, protection lotion, nourishing cream and the like.

A further object of the present invention was therefore to enrich the prior art with  
30 cosmetic or pharmaceutical stick formulations which are in the form of W/O emulsions and which are characterized by an increased water content.

Surprisingly, all of these objects are achieved by

35 cosmetic or dermatological stick preparations, which are finely disperse systems of the water-in-oil type, comprising



The water phase content of the formulations according to the invention is preferably chosen from the range from 5 to 80% by weight, particularly advantageously from the range 10 to 70% by weight, in particularly 15 to 60% by weight, in each case based on the total weight of the formulations.

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### **Modified phyllosilicates**

Silicates are salts and esters (silicic esters) of orthosilicic acid  $[\text{Si}(\text{OH})_4]$  and condensation products thereof. Silicates are not only the class of minerals which contain the most types, but are also extremely important from a geological and industrial viewpoint. Over 80% of the earth's crust consists of silicates. Phyllosilicates are (ideally) silicate structures having two-dimensionally infinite layers of  $[\text{SiO}_4]^{4-}$  tetrahedra, each tetrahedron being bonded to neighbouring tetrahedra by 3 bridging oxygens.

Only approximate chemical formulae can be given for phyllosilicates since they have a large ion-exchange ability, and silicon can be replaced by aluminium, and this in turn can be replaced by magnesium,  $\text{Fe}^{2+}$ ,  $\text{Fe}^{3+}$ , Zn and the like. The negative charge of the layers which may result is usually balanced by cations, in particular by  $\text{Na}^+$  and  $\text{Ca}^{2+}$  in interlayer positions.

20

Phyllosilicates can swell by reversible intercalation of water (in a 2- to 7-fold amount) and other substances, such as, for example, alcohols, glycols and the like. Their use as thickeners in cosmetic compositions is, accordingly, known per se. However, the prior art was unable to point the way to the present invention.

25

Advantageous phyllosilicates for the purposes of the present invention are, for example, those whose greatest expansion direction in the unmodified and unswollen state has, on average, a length of less than 10  $\mu\text{m}$ . For example, the average expansions of the modified phyllosilicate particles used can be 1000 nm x 100 nm x 1 nm and below. The effective size of the modified phyllosilicate particles in a cosmetic or dermatological formulation naturally depends on the amount of intercalated substances.

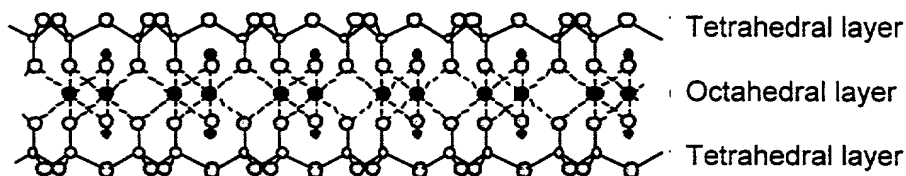
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Advantageous modified phyllosilicates for the purposes of the present invention are, for example, modified smectites.

Smectites are always very finely particulate (in most cases < 2  $\mu\text{m}$ ) three-layer clay minerals (2:1 phyllosilicates) which occur mainly as lamella-shaped, moss-like or

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spherical aggregates, in which a central layer of octahedrally coordinated cations is sandwiched by two layers of [(Si,Al)O<sub>4</sub>] tetrahedra. Smectites are described in an idealized manner by the following structural formula, in which circles filled in white are silicon and/or aluminium atoms, circles filled in pale grey are oxygen atoms, circles filled in dark grey are hydrogen atoms, and circles filled in black are aluminium, magnesium, iron atoms and/or other exchange cations:



Advantageous modified smectites are, for example, modified montmorillonites. Montmorillonites are described by the approximate chemical formula  $\text{Al}_2[(\text{OH})_2/\text{Si}_4\text{O}_{10}] \cdot n \text{H}_2\text{O}$  or  $\text{Al}_2\text{O}_3 \cdot 4 \text{SiO}_2 \cdot \text{H}_2\text{O} \cdot n \text{H}_2\text{O}$ , and are clay minerals belonging to the dioctahedral smectites.

Also particularly advantageous for the purposes of the present invention are, for example, modified hectorites. Hectorites belong to the smectites and have the approximate chemical formula  $\text{M}^+_{0.3}(\text{Mg}_{2.7}\text{Li}_{0.3})[\text{Si}_4\text{O}_{10}(\text{OH})_2]$ , in which  $\text{M}^+$  is in most cases  $\text{Na}^+$ .

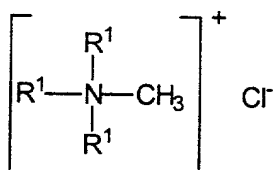
Also advantageous for the purposes of the present invention are modified bentonites. Bentonites are clays and rocks which contain smectites, especially montmorillonite, as main minerals. The "crude" bentonites are either calcium bentonites (referred to in Great Britain as fuller's earth) or sodium bentonites (also: Wyoming bentonites).

Modified phyllosilicates for the purposes of the present invention are phyllosilicates, in particular the phyllosilicate types already mentioned, whose organophilicity (also: lipophilicity) has been increased, for example by reaction with quaternary ammonium compounds. Such phyllosilicates are also referred to as organophilic phyllosilicates.

Particularly advantageous for the purposes of the present invention are bentones, i.e. organic derivatives of montmorillonites (or bentonites) and/or hectorites, which are prepared by ion-exchange reactions with alkylammonium bases.

Advantageous modified phyllosilicates for the purposes of the present invention are obtainable, for example, by reacting phyllosilicates with quaternium-18. Quaternium-18 is a mixture of quaternary ammonium chloride salts which are described by the following structural formula:

5



in which

the radicals  $\text{R}^1$  are chosen independently of one another from the group consisting of methyl and hydrogenated tallow radicals having a chain length of from 12 to 20 carbon atoms.

10

According to the invention, particular preference is given to stearylquaternium hectorite, a reaction product of hectorite and stearylquaternium chloride (benzyltrimethylstearylquaternium chloride), and quaternium-18 hectorite, a reaction product of hectorite and quaternium-18, which are available, for example, under the trade names Bentone 27 and Bentone 38 from Nordmann & Rassmann.

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The total amount of one or more modified phyllosilicates in the finished cosmetic or dermatological preparations is advantageously chosen from the range 0.05 to 10.0% by weight, preferably 0.1 to 5.0% by weight, based on the total weight of the preparations.

20

Although it is particularly preferred to stabilize the preparations according to the invention only by the addition of one or more modified phyllosilicates, it may also be advantageous to combine the modified phyllosilicate particles with other amphiphilic pigments which can, where appropriate, also contribute to the stabilization of the Pickering emulsion sticks.

25

Such pigments are, for example, micronized, inorganic pigments which are chosen from the group of amphiphilic metal oxides, in particular from the group consisting of titanium dioxide, zinc oxide, silicon dioxide or silicates (e.g. talc, kaolin), where the metal oxides can be present either individually or in a mixture. In this connection, it is essentially unimportant in which of the potentially naturally occurring modifications the amphiphilic metal oxides used are present.

30



It is advantageous to choose the average particle diameter of the pigments used for the combination with modified phyllosilicates to be between 1 nm and 200 nm, particularly advantageously between 5 nm and 100 nm.

5

It is advantageous for the purposes of the present invention to combine the modified phyllosilicates according to the invention with untreated, virtually pure pigment particles, in particular with those which can also be used as dye in the foods industry and/or as absorbers of UV radiation in sunscreens. Examples of advantageous pigments are the zinc oxide pigments obtainable from Merck and those obtainable under the trade names Zinkoxid neutral from Haarmann & Reimer or NanoX from Harcros Chemical Group.

10

Also advantageous according to the invention is the combination of modified phyllosilicates with inorganic pigments which have been surface-treated to repel water ("coated"), the intention being for the amphiphilic character of these pigments to be simultaneously formed or retained. This surface treatment may involve providing the pigments with a thin hydrophobic layer by processes known per se.

15

One such process, which is described below using titanium dioxide as an example, consists in, for example, producing the hydrophobic surface layer according to the following reaction

20



n and m are arbitrary stoichiometric parameters, and R and R' are the desired organic radicals. Particularly advantageous combination partners are TiO<sub>2</sub> pigments, for example those coated with aluminium stearate and obtainable under the trade name MT 100 T from TAYCA.

25

A further advantageous coating of the combination partners consists of dimethylpolysiloxane (also: dimethicone), a mixture of fully methylated, linear siloxane polymers which are terminally blocked with trimethylsiloxy units. Particularly advantageous for the purposes of the present invention is the combination of modified phyllosilicates with zinc oxide pigments which have been coated in this way.

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It is also advantageous when the inorganic pigments used alongside modified phyllosilicates are coated with a mixture of dimethylpolysiloxane, in particular dimethylpolysiloxane having an average chain length of from 200 to 350 dimethylsiloxane units, and silica gel, which is also referred to as simethicone. It is particularly advantageous if the inorganic pigments are additionally coated with aluminium hydroxide or aluminium oxide hydrate (also: alumina, CAS No.: 1333-84-2). Particularly advantageous combination partners are titanium dioxides coated with simethicone and alumina, it being possible for the coating to also contain water. One example thereof is the titanium dioxide obtainable under the trade name Eusolex T2000 from Merck.

Also advantageous for the purposes of the present invention is the combination of modified phyllosilicates with a mixture of different inorganic, amphiphilic pigment types either within one crystal, for example as an iron mixed oxide or talc (magnesium silicate), or else by mixing two or more types of metal oxide within a preparation. Particularly advantageous combination partners are magnesium silicates, for example those obtainable under the trade name Talkum Micron from Grolmann.

The modified phyllosilicates according to the invention can also be advantageously combined with further pigments, for example with titanium dioxide pigments coated with octylsilanol, and/or with silicon dioxide particles which have been surface-treated to repel water. Silicon dioxide particles which are suitable for the combination are, for example, spherical polyalkylsilsesquioxane particles, as mentioned in European laid-open specification 0 686 391. Such polyalkylsilsesquioxane particles are obtainable, for example, under the trade names Aerosil R972 and Aerosil 200V from Degussa.

The modified phyllosilicates are further advantageously combined with microfine polymer particles which are present in the preparation in the form of solids. Favourable combination partners for the purposes of the present invention are, for example, polycarbonates, polyethers, polyethylene, polypropylene, polyvinyl chloride, polystyrene, polyamides, polyacrylates and the like.

Combination partners which are suitable according to the invention are, for example, microfine polyamide particles, in particular those obtainable under the trade name SP-500 from TORAY. Also advantageous are polyamide 6- (also: Nylon 6) and polyamide 12 (also: Nylon 12) particles. Polyamide 6 is the polyamide formed from  $\epsilon$ -aminocaproic acid (6-aminohexanoic acid) or  $\epsilon$ -caprolactam [poly( $\epsilon$ -caprolactam)], and polyamide 12 is a

poly ( $\epsilon$ -laurinlactam) from  $\epsilon$ -laurinlactam. For the purposes of the present invention, Orgasol<sup>®</sup> 1002 (polyamide 6) and Orgasol<sup>®</sup> 2002 (polyamide 12) from ELF ATOCHEM, for example, are advantageous.

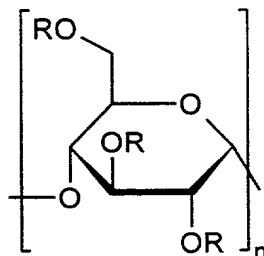
- 5 Other advantageous microfine polymer particles which are suitable for combination with the modified phyllosilicates according to the invention are microfine polymethacrylates. Such particles are obtainable, for example, under the trade name POLYTRAP<sup>®</sup> from DOW CHEMICAL.
- 10 It is particularly advantageous, but not obligatory, if the microfine polymer particles used as combination partners have been surface-coated. This surface treatment can consist in providing the polymer particles with a thin hydrophilic layer by methods known per se. Advantageous coatings consist, for example, of titanium dioxide (TiO<sub>2</sub>), zirkonium dioxide (ZrO<sub>2</sub>) or else other polymers, such as, for example, polymethyl methacrylate.
- 15 advantageous microfine polymer particles for the purposes of the present invention are, for example, those obtainable by the process for the hydrophilic coating of hydrophobic polymer particles described in US patent specification 4,898,913.

20 The average particle diameter of the microfine polymer particles used as combination partners is preferably chosen to be less than 100  $\mu\text{m}$ , particularly advantageously less than 50  $\mu\text{m}$ . In this connection, it is essentially unimportant in which form (platelets, rods, spherules etc.) the polymer particles used are present.

25 The modified phyllosilicates according to the invention are also advantageously combined with amphiphilic modified polysaccharides which do not exhibit thickening properties.

30 Such amphiphilic polysaccharides are obtainable, for example, by reacting starch with mono-, bi- or polyfunctional reagents or oxidizing agents in reactions which proceed in a largely polymer-analogous manner.

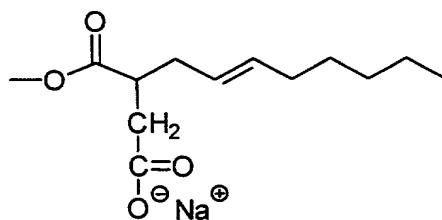
These reactions are based essentially on modifications of the hydroxyl groups of the polyglucans by etherification, esterification or selective oxidation. This produces, for example, starch ethers and starch esters of the general structural formula



in which R can, for example, be a hydrogen and/or an alkyl radical and/or aralkyl radical (in the case of starch ethers) or a hydrogen and/or an organic and/or inorganic acid radical (in the case of starch esters). Starch ethers and starch esters are advantageous combination partners for the purposes of the present invention.

It is particularly advantageous to combine the modified phyllosilicates according to the invention with starch ethers, e.g. with those obtainable by etherifying starch with tetramethylolacetylenediurea and which are referred to as Amylum non mucilaginosum (non-swelling starch).

Also particularly advantageous is the combination of modified phyllosilicates according to the invention with starch esters and/or salts thereof, for example with sodium and/or aluminium salts of half-esters of starch which have low degrees of substitution, in particular with sodium starch n-octenyl succinate of the structural formula (I), in which R is characterized by the following structure



and which is available, for example, under the trade name Amiogum<sup>®</sup> 23 from CERESTAR, and with aluminium starch octenyl succinate, in particular with those obtainable under the trade names Dry Flo<sup>®</sup> Elite LL and Dry Flo<sup>®</sup> PC from National Starch & Chemical.

It is advantageous to choose the average particle diameter of the modified polysaccharides used for the combination with the phyllosilicates modified according to the invention to be less than 20  $\mu\text{m}$ , particularly advantageously less than 15  $\mu\text{m}$ .

The list of said modified polysaccharides which can be combined with the modified phyllosilicates is of course not intended to be limiting. Modified polysaccharides which are advantageous combination partners for the purposes of the present invention are obtainable in numerous ways known per se, either of a chemical or a physical nature. For the preparation of such polysaccharides, in principle novel ways are also conceivable. In this connection, it is essential that the modified polysaccharides exhibit amphiphilic properties and that they do not have a thickening action.

The modified phyllosilicates according to the invention are also preferably combined with boron nitride.

Advantageous combination partners for the purposes of the present invention are, for example, the boron nitrides listed below:

<b>Trade name</b>	<b>available from:</b>
Boron Nitride Powder	Advanced Ceramics
Boron Nitride Powder	Sintec Keramik
Ceram Blanche	Kawasaki
HCST Boron Nitride	Stark
Très BN <sup>®</sup>	Carborundum
Wacker-Bornitrid BNP	Wacker-Chemie

It is advantageous to choose the average particle diameter of the boron nitride particles used to be less than 20 µm, particularly advantageously less than 15 µm.

Likewise advantageous combination partners are boron nitride particles which have been surface-treated to repel water ("coated"), the intention being for the amphiphilic character to be simultaneously formed or retained.

An advantageous coating of the boron nitride particles consists of dimethylpolysiloxane (also: dimethicone), a mixture of fully-methylated, linear siloxane polymers which have been terminally blocked with trimethylsiloxy units. Advantageous boron nitride particles treated with dimethicone are, for example, those available from Carborundum under the trade name Très BN<sup>®</sup> UHP 1106.

Also advantageous is a coating of the boron nitride particles with polymethylhydrogen-siloxane, a linear polysiloxane which is also referred to as methicone. Examples of advantageous boron nitride particles coated with methicone are those obtainable from Carborundum under the trade name Très BN<sup>®</sup> UHP 1107.

5

In all of the above cases, it is advantageous to choose the total concentration of all pigments to be greater than 0.05% by weight, particularly advantageously between 0.05% by weight and 30% by weight, based on the total weight of the preparations, where the concentration of modified phyllosilicates – likewise based on the total weight of the preparations – for the purposes of the present invention is advantageously chosen from the range 0.05% by weight to 10% by weight, advantageously 0.1% by weight to 5% by weight.

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#### Waxes

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According to the invention, the high-melting fatty and/or wax components are advantageously chosen from the group of vegetable waxes, animal waxes, mineral waxes and petrochemical waxes. According to the invention, favourable examples are candelilla wax, carnauba wax, japan wax, esparto grass wax, cork wax, guaruma wax, rice germ oil wax, sugar cane wax, berry wax, ouricury wax, montan wax, jojoba wax, shea butter, beeswax, schellack wax, spermaceti, lanolin (wool wax), uropygial wax, ceresin, ozokerite (earth wax), paraffin waxes and microcrystalline waxes.

20

Other advantageous fatty and/or wax components are chemically modified waxes and synthetic waxes, such as, for example, those obtainable under the trade names Syncrowax HRC (glyceryl tribehenate), Syncrowax HGLC (C<sub>16-36</sub>-fatty acid triglyceride) and Syncrowax AW 1C (C<sub>18-36</sub>-fatty acid) from CRODA GmbH, and also montan ester waxes, sasol waxes, hydrogenated jojoba waxes, synthetic or modified beeswaxes (e.g. dimethicone copolyol beeswax and/or C<sub>30-50</sub>-alkyl beeswax), polyalkylene waxes, polyethylene glycol waxes, but also chemically modified fats, such as, for example, hydrogenated vegetable oils (for example hydrogenated castor oil and/or hydrogenated coconut fatty glycerides), triglycerides, such as, for example, trihydroxystearin, fatty acids, fatty acid esters and glycol esters, such as, for example, C<sub>20-40</sub>-alkyl stearate, C<sub>20-40</sub>-alkylhydroxystearoyl stearate and/or glycol montanate. Also advantageous are certain organosilicon compounds which have similar physical properties to said fatty and/or wax components, such as, for example, stearoxytrimethylsilane.

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According to the invention, the fatty and/or wax components can be present either individually or as a mixture.

5 The Pickering emulsion sticks according to the invention can advantageously also comprise thickeners, in particular oil thickeners, in order to improve the tactile properties of the emulsion and the stick consistency. Advantageous oil thickeners for the purposes of the present invention are, for example, other solids, such as, for example, hydrophobic silicon oxides of the Aerosil® type, which are obtainable from Degussa AG.  
10 Advantageous Aerosil® types are, for example, Aerosil® OX50, Aerosil® 130, Aerosil® 150, Aerosil® 200, Aerosil® 300, Aerosil® 380, Aerosil® MOX 80, Aerosil® MOX 170, Aerosil® COK 84, Aerosil® R 202, Aerosil® R 805, Aerosil® R 812, Aerosil® R 972, Aerosil® R 974 and/or Aerosil® R976.

15 In addition, metal soaps (i.e. the salts of higher fatty acids with the exception of the alkali metal salts) are advantageous oil thickeners for the purposes of the present invention, such as, for example, aluminium stearate, zinc stearate and/or magnesium stearate.

The Pickering emulsions according to the invention can be used as a base for cosmetic or dermatological stick formulations. These can have the customary composition and be used, for example, for the treatment and care of the skin, as a lipcare product, as a deodorant product and as a make-up or make-up removal product in decorative cosmetics, or as a light protection preparation. In some instances it is possible and advantageous to use the compositions according to the invention as bases for  
20 pharmaceutical formulations.  
25

For use, the cosmetic and dermatological preparations according to the invention are applied to the skin in a sufficient amount in the manner customary for cosmetics.

30 The cosmetic and dermatological preparations according to the invention can comprise dyes and/or colour pigments, particularly when they are in the form of decorative lipsticks, lip defining pencils, concealer sticks, kohl pencils, eyeliner pencils and/or eyebrow pencils. The dyes and colour pigments can be chosen from the corresponding positive list of the Cosmetics Directive or from the EU list of cosmetic colorants. In most cases, they are identical to the dyes permitted for foods. Advantageous colour pigments  
35 are, for example, titanium dioxide, mica, iron oxides (e. g.  $\text{Fe}_2\text{O}_3$ ,  $\text{Fe}_3\text{O}_4$ ,  $\text{FeO}(\text{OH})$ )

and/or zinc oxide. Advantageous dyes are, for example, carmine, berlin blue, chrome oxide green, ultramarine blue and/or manganese violet. It is particularly advantageous to choose the dyes and/or colour pigments from the following list. The Colour Index Numbers (CIN) are taken from the *Rowe Colour Index, 3rd edition, Society of Dyers and Colourists, Bradford, England, 1971.*

Chemical or other name	CIN	Colour
Pigment Green	10006	green
Acid Green 1	10020	green
2,4-Dinitrohydroxynaphthalene-7-sulphonic acid	10316	yellow
Pigment Yellow 1	11680	yellow
Pigment Yellow 3	11710	yellow
Pigment Orange 1	11725	orange
2,4-Dihydroxyazobenzene	11920	orange
Solvent Red 3	12010	red
1-(2'-Chloro-4'-nitro-1'-phenylazo)-2-hydroxynaphthalene	12085	red
Pigment Red 3	12120	red
Ceres red; Sudan red; Fat Red G	12150	red
Pigment Red 112	12370	red
Pigment Red 7	12420	red
Pigment Brown 1	12480	brown
4-(2'-Methoxy-5'-sulphodiethylamido-1'-phenylazo)-3-hydroxy-5"-chloro-2",4"-dimethoxy-2-naphthylidide	12490	red
Disperse Yellow 16	12700	yellow
1-(4-Sulpho-1-phenylazo)-4-aminobenzene-5-sulphonic acid	13015	yellow
2,4-Dihydroxyazobenzene-4'-sulphonic acid	14270	orange
2-(2,4-Dimethylphenylazo-5-sulpho)-1-hydroxynaphthalene-4-sulphonic acid	14700	red
2-(4-Sulpho-1-naphthylazo)-1-naphthol-4-sulphonic acid	14720	red
2-(6-Sulpho-2,4-xyllylazo)-1-naphthol-5-sulphonic acid	14815	red
1-(4'-Sulphophenylazo)-2-hydroxynaphthalene	15510	orange
1-(2-Sulpho-4-chloro-5-carboxy-1-phenylazo)-2-hydroxynaphthalene	15525	red
1-(3-Methylphenylazo-4-sulpho)-2-hydroxynaphthalene	15580	red
1-(4',8')-Sulphonaphthylazo)-2-hydroxynaphthalene	15620	red
2-Hydroxy-1,2'-azonaphthalene-1'-sulphonic acid	15630	red
3-Hydroxy-4-phenylazo-2-naphthylcarboxylic acid	15800	red



<b>Chemical or other name</b>	<b>CIN</b>	<b>Colour</b>
1-(2-Sulpho-4-methyl-1-phenylazo)-2-naphthylcarboxylic acid	15850	red
1-(2-Sulpho-4-methyl-5-chloro-1-phenylazo)-2-hydroxynaphthalene-3-carboxylic acid	15865	red
1-(2-Sulpho-1-naphthylazo)-2-hydroxynaphthalene-3-carboxylic acid	15880	red
1-(3-Sulpho-1-phenylazo)-2-naphthol-6-sulphonic acid	15980	orange
1-(4-Sulpho-1-phenylazo)-2-naphthol-6-sulphonic acid	15985	yellow
Allura Red	16035	red
1-(4-Sulpho-1-naphthylazo)-2-naphthol-3,6-disulphonic acid	16185	red
Acid Orange 10	16230	orange
1-(4-Sulpho-1-naphthylazo)-2-naphthol-6,8-disulphonic acid	16255	red
1-(4-Sulpho-1-naphthylazo)-2-naphthol-3,6,8-trisulphonic acid	16290	red
8-Amino-2-phenylazo-1-naphthol-3,6-disulphonic acid	17200	red
Acid Red 1	18050	red
Acid Red 155	18130	red
Acid Yellow 121	18690	yellow
Acid Red 180	18736	red
Acid Yellow 11	18820	yellow
Acid Yellow 17	18965	yellow
4-(4-Sulpho-1-phenylazo)-1-(4-sulphophenyl)-5-hydroxypyrazolone-3-carboxylic acid	19140	yellow
Pigment Yellow 16	20040	yellow
2,6-(4'-Sulpho-2'', 4''-dimethyl)bisphenylazo)-1,3-dihydroxybenzene	20170	orange
Acid Black 1	20470	black
Pigment Yellow 13	21100	yellow
Pigment Yellow 83	21108	yellow
Solvent Yellow	21230	yellow
Acid Red 163	24790	red
Acid Red 73	27290	red
2-[4'-(4''-Sulpho-1''-phenylazo)-7'-sulpho-1'-naphthylazo]-1-hydroxy-7-aminonaphthalene-3,6-disulphonic acid	27755	black
4'-[(4''-Sulpho-1''-phenylazo)-7'-sulpho-1'-naphthylazo]-1-hydroxy-8-acetylamino-naphthalene-3,5-disulphonic acid	28440	black
Direct Orange 34, 39, 44, 46, 60	40215	orange
Food Yellow	40800	orange
trans- $\beta$ -Apo-8'-carotinaldehyde (C <sub>30</sub> )	40820	orange

<b>Chemical or other name</b>	<b>CIN</b>	<b>Colour</b>
trans-Apo-8'-carotinic acid (C <sub>30</sub> )-ethyl ester	40825	orange
Canthaxanthin	40850	orange
Acid Blue 1	42045	blue
2,4-Disulpho-5-hydroxy-4'-4"-bis(diethylamino)triphenylcarbinol	42051	blue
4-[(4-N-Ethyl-p-sulphobenzylamino)phenyl(4-hydroxy-2-sulphophenyl)(methylene)-1-(N-ethyl-N-p-sulphobenzyl)-2,5-cyclohexadienimine]	42053	green
Acid Blue 7	42080	blue
(N-Ethyl-p-sulphobenzylamino)phenyl(2-sulphophenyl)methylene-(N-ethyl-N-p-sulphobenzyl) <sup>2,5</sup> -cyclohexadienimine	42090	blue
Acid Green 9	42100	green
Diethyldisulphobenzyl-di-4-amino-2-chloro-di-2-methylfuchsonimmonium	42170	green
Basic Violet 14	42510	violet
Basic Violet 2	42520	violet
2'-Methyl-4'-(N-ethyl-N-m-sulphobenzyl)amino-4"-(N-diethyl)amino-2-methyl-N-ethyl-N-m-sulphobenzylfuchsonimmonium	42735	blue
4'-(N-Dimethyl)amino-4"-(N-phenyl)aminonaphtho-N-dimethyl-fuchsonimmonium	44045	blue
2-Hydroxy-3,6-disulpho-4,4'-bisdimethylaminonaphtho-fuchsonimmonium	44090	green
Acid Red 52	45100	red
3-(2'-Methylphenylamino)-6-(2'-methyl-4'-sulphophenylamino)-9-(2"-carboxyphenyl)xanthenium salt	45190	violet
Acid Red 50	45220	red
Phenyl-2-oxyfluorone-2-carboxylic acid	45350	yellow
4,5-Dibromofluorescein	45370	orange
2,4,5,7-Tetrabromofluorescein	45380	red
Solvent Dye	45396	orange
Acid Red 98	45405	red
3',4',5',6'-Tetrachloro-2,4,5,7-tetrabromofluorescein	45410	red
4,5-Diiodofluorescein	45425	red
2,4,5,7-Tetraiodofluorescein	45430	red
Quinophthalone	47000	yellow
Quinophthalonedisulphonic acid	47005	yellow

<b>Chemical or other name</b>	<b>CIN</b>	<b>Colour</b>
Acid Violet 50	50325	violet
Acid Black 2	50420	black
Pigment Violet 23	51319	violet
1,2-Dioxyanthraquinone, calcium-aluminium complex	58000	red
3-Oxypyrene-5,8,10-sulphonic acid	59040	green
1-Hydroxy-4-N-phenylaminoanthraquinone	60724	violet
1-Hydroxy-4-(4'-methylphenylamino)anthraquinone	60725	violet
Acid Violet 23	60730	violet
1,4-Di(4'-methylphenylamino)anthraquinone	61565	green
1,4-Bis(o-sulpho-p-toluidino)anthraquinone	61570	green
Acid Blue 80	61585	blue
Acid Blue 62	62045	blue
N,N'-Dihydro-1,2,1',2'-anthraquinone azine	69800	blue
Vat Blue 6; Pigment Blue 64	69825	blue
Vat Orange 7	71105	orange
Indigo	73000	blue
Indigo-disulphonic acid	73015	blue
4,4'-Dimethyl-6,6'-dichlorothioindigo	73360	red
5,5'-Dichloro-7,7'-dimethylthioindigo	73385	violet
Quinacridone Violet 19	73900	violet
Pigment Red 122	73915	red
Pigment Blue 16	74100	blue
Phthalocyanine	74160	blue
Direct Blue 86	74180	blue
Chlorinated phthalocyanine	74260	green
Natural Yellow 6,19; Natural Red 1	75100	yellow
Bixin, Norbixin	75120	orange
Lycopene	75125	yellow
trans-alpha-, beta- and gamma-carotene	75130	orange
Keto- and/or hydroxyl derivates of carotene	75135	yellow
Guanine or pearlizing agent	75170	white
1,7-Bis(4-hydroxy-3-methoxyphenyl)-1,6-heptadiene-3,5-dione	75300	yellow
Complex salt (Na, Al, Ca) of carminic acid	75470	red
Chlorophyll a and b; copper compounds of chlorophylls and Chlorophyllins	75810	green

<b>Chemical or other name</b>	<b>CIN</b>	<b>Colour</b>
Aluminium	77000	white
Hydrated alumina	77002	white
Hydrous aluminium silicates	77004	white
Ultramarine	77007	blue
Pigment Red 101 ad 102	77015	red
Barium sulphate	77120	white
Bismuth oxychloride and its mixtures with mica	77163	white
Calcium carbonate	77220	white
Calcium sulphate	77231	white
Carbon	77266	black
Pigment black 9	77267	black
Carbo medicinalis vegetabilis	77268:1	black
Chromium oxide	77288	green
Chromium oxide, hydrous	77289	green
Pigment Blue 28, Pigment Green 14	77346	green
Pigment Metal 2	77400	brown
Gold	77480	brown
Iron oxides and hydroxides	77489	orange
Iron oxide	77491	red
Iron oxide, hydrated	77492	yellow
Iron oxide	77499	black
Mixtures of iron (II) and iron(III)hexacyanoferrate	77510	blue
Pigment White 18	77713	white
Manganese ammonium diphosphate	77742	violet
Manganese phosphate; $Mn_3(PO_4)_2 \cdot 7 H_2O$	77745	red
Silver	77820	white
Titanium dioxide and its mixtures with mica	77891	white
Zinc oxide	77947	white
6,7-Dimethyl-9-(1'-D-ribityl)isoalloxazine, lactoflavine		yellow
Sugar colouring		brown
Capsanthin, capsorubin		orange
Betanin		red
Benzopyrylium salts, Anthocyanins		red
Aluminium, zinc, magnesium and calcium stearate		white
Bromothymol blue		blue

Chemical or other name	CIN	Colour
Bromocresol green		green
Acid Red 195		red

If the stick formulations according to the invention are not in the form of lip(care) sticks, it is favourable to choose, as the dye, one or more substances from the following group:

- 2,4-dihydroxyazobenzene, 1-(2'-chloro-4'-nitro-1'-phenylazo)-2-hydroxynaphthalene,
- 5 Ceres Red, 2-(4-sulpho-1-naphthylazo)-1-naphthol-4-sulphonic acid, calcium salt of 2-hydroxy-1,2'-azonaphthalene-1'-sulphonic acid, calcium and barium salts of 1-(2-sulpho-4-methyl-1-phenylazo)-2-naphthylcarboxylic acid, calcium salt of 1-(2-sulpho-1-naphthylazo)-2-hydroxynaphthalene-3-carboxylic acid, aluminium salt of 1-(4-sulpho-1-phenylazo)-2-naphthol-6-sulphonic acid, aluminium salt of 1-(4-sulpho-1-naphthylazo)-2-naphthol-3,6-disulphonic acid, 1-(4-sulpho-1-naphthylazo)-2-naphthol-6,8-disulphonic acid, aluminium salt of 4-(4-sulpho-1-phenylazo)-1-(4-sulphophenyl)-5-hydroxypyrazolone-3-carboxylic acid, aluminium and zirconium salts of 4,5-dibromofluorescein, aluminium and zirconium salts of 2,4,5,7-tetrabromofluorescein, 3',4',5',6'-tetrachloro-2,4,5,7-tetrabromofluorescein and its aluminium salt, aluminium salt of 2,4,5,7-tetraiodofluorescein, aluminium salt of quinophthalone disulphonic acid, aluminium salt of indigo disulphonic acid, red and black iron oxide (CIN: 77 491 (red) and 77 499 (black)), iron oxide hydrate (CIN: 77 492), manganese ammonium diphosphate and titanium dioxide.
- 10
- 15
- 20 Also advantageous are oil-soluble natural dyes, such as, for example, paprika extracts,  $\beta$ -carotene or cochenille.

Also advantageous for the purposes of the present invention are stick formulations with a content of pearlescent pigments. Preference is given in particular to the types of pearlescent pigments listed below:

- 25
1. Natural pearlescent pigments, such as, for example
    - "Pearl essence" (guanine/hypoxanthin mixed crystals from fish scale) and
    - "Mother of pearl" (ground mussel shells)
  2. Monocrystalline pearlescent pigments, such as, for example, bismuth oxychloride (BiOCl)
  - 30 3. Layer substrate pigments: e.g. mica/metal oxide

Bases for pearlescent pigments are, for example, pulverulent pigments or castor oil dispersions of bismuth oxychloride and/or titanium dioxide, and bismuth oxychloride and/or titanium dioxide on mica. The lustre pigment listed under CIN 77163, for example, is particularly advantageous.

5

Also advantageous are, for example, the following types of pearlescent pigment based on mica/metal oxide:

Group	Coating/laver thickness	Colour
<b>Silver-white pearlescent pigments</b>	TiO <sub>2</sub> : 40 – 60 nm	silver
<b>Interference pigments</b>	TiO <sub>2</sub> : 60 – 80 nm	yellow
	TiO <sub>2</sub> : 80 – 100 nm	red
	TiO <sub>2</sub> : 100 – 140 nm	blue
	TiO <sub>2</sub> : 120 – 160 nm	green
<b>Colour lustre pigments</b>	Fe <sub>2</sub> O <sub>3</sub>	bronze
	Fe <sub>2</sub> O <sub>3</sub>	copper
	Fe <sub>2</sub> O <sub>3</sub>	red
	Fe <sub>2</sub> O <sub>3</sub>	red-violet
	Fe <sub>2</sub> O <sub>3</sub>	red-green
	Fe <sub>2</sub> O <sub>3</sub>	black
<b>Combination pigments</b>	TiO <sub>2</sub> /Fe <sub>2</sub> O <sub>3</sub>	gold shades
	TiO <sub>2</sub> /Cr <sub>2</sub> O <sub>3</sub>	green
	TiO <sub>2</sub> /berlin blue	deep blue
	TiO <sub>2</sub> /carmin	red

- 10 Particular preference is given, for example, to the pearlescent pigments obtainable from Merck under the trade names Timiron, Colorona or Dichrona.

The list of given pearlescent pigments is not of course intended to be limiting. Pearlescent pigments which are advantageous for the purposes of the present invention are obtainable by numerous methods known per se. For example, other substrates apart from mica can be coated with further metal oxides, such as, for example, silica and the like. SiO<sub>2</sub> particles coated with, for example, TiO<sub>2</sub> and Fe<sub>2</sub>O<sub>3</sub> ("Ronaspheres"), which are marketed by Merck and are particularly suitable for the optical reduction of fine lines.

- 15
- 20 It can moreover be advantageous to dispense completely with a substrate such as mica. Particular preference is given to iron pearlescent pigments prepared without the use of

mica. Such pigments are obtainable, for example, under the trade name Sicopearl Kupfer 1000 from BASF.

5 The dyes and pigments can be present either individually or in a mixture, and can be mutually coated with one another, differing coating thicknesses generally giving rise to different colour effects. The total amount of dyes and colour-imparting pigments is advantageously chosen from the range from, for example, 0.1% by weight to 30% by weight, preferably from 0.5 to 15% by weight, in particular from 1.0 to 10% by weight, in each case based on the total weight of the preparations.

10

The novel cosmetic and dermatological preparations may comprise cosmetic auxiliaries, as are customarily used in such preparations, e.g. preservatives, bactericides, perfumes, antifoams, pigments, thickeners, emollients, moisturizers and/or humectants, fats, oils, waxes or other customary constituents of a cosmetic or dermatological formulation, such as alcohols, polyols, polymers, foam stabilizers, electrolytes, organic solvents or silicone derivatives.

15

A surprising property of the novel preparations is that they are very good vehicles for cosmetic or dermatological active ingredients into the skin, advantageous active ingredients being antioxidants which are able to protect the skin against oxidative stress.

20

According to the invention, the preparations advantageously comprise one or more antioxidants. Antioxidants which are favourable, but nevertheless optional, are all antioxidants which are suitable or customary for cosmetic and/or dermatological applications. It is advantageous to use antioxidants as the sole active ingredient class when, for example, a cosmetic or dermatological application is at the fore, such as, for example, the control of oxidative stress of the skin. It is, however, also favourable to provide the novel stick preparations with a content of one or more antioxidants if the intention is for the preparations to serve another purpose, e.g. as deodorants or sunscreens.

25

30

The antioxidants are particularly advantageously chosen from the group consisting of amino acids (e.g. glycine, histidine, tyrosine, tryptophan) and derivatives thereof, imidazoles, (e.g. urocanic acid) and derivatives thereof, peptides, such as D,L-carnosine, D-carnosine, L-carnosine and derivatives thereof (e.g. anserine), carotenoids, carotenes (e.g.  $\alpha$ -carotene,  $\beta$ -carotene, lycopene) and derivatives thereof, chlorogenic acid and

35

derivatives thereof, lipoic acid and derivatives thereof (e.g. dihydrolipoic acid), aurothioglucose, propylthiouracil and other thiols (e.g. thioredoxin, glutathione, cysteine, cystine, cystamine and the glycosyl, N-acetyl, methyl, ethyl, propyl, amyl, butyl and lauryl, palmitoyl, oleyl,  $\gamma$ -linoleyl, cholesteryl and glyceryl esters thereof) and salts thereof, dilauryl thiodipropionate, distearyl thiodipropionate, thiodipropionic acid and derivatives thereof (esters, ethers, peptides, lipids, nucleotides, nucleosides and salts) and sulphoximine compounds (e.g. buthionine sulphoximines, homocysteine sulphoximine, buthionine sulphones, penta-, hexa-, heptathionine sulphoximines) in very low tolerated doses (e.g. pmol to  $\mu$ mol/kg), and also (metal) chelating agents (e.g.  $\alpha$ -hydroxyfatty acids, palmitic acid, phytic acid, lactoferrin),  $\alpha$ -hydroxy acids (e.g. citric acid, lactic acid, malic acid), humic acid, bile acid, bile extracts, bilirubin, biliverdin, EDTA, EGTA and derivatives thereof, unsaturated fatty acids and derivatives thereof (e.g.  $\gamma$ -linolenic acid, linoleic acid, oleic acid), folic acid and derivatives thereof, ubiquinone and ubiquinol and derivatives thereof, vitamin C and derivatives (e.g. ascorbyl palmitate, Mg ascorbyl phosphate, ascorbyl acetate), tocopherols and derivatives (e.g. vitamin E acetate), vitamin A and derivatives (vitamin A palmitate) and coniferyl benzoate of benzoin resin, rutinic acid and derivatives thereof,  $\alpha$ -glycosylrutin, ferulic acid, furfurylidene-glucitol, carnosine, butylated hydroxytoluene, butylated hydroxyanisole, nordihydroguaiac acid, nordihydroguaiaretic acid, trihydroxybutyrophenone, uric acid and derivatives thereof, mannose and derivatives thereof, zinc and derivatives thereof (e.g. ZnO, ZnSO<sub>4</sub>), selenium and derivatives thereof (e.g. selenomethionine), stilbenes and derivatives thereof (e.g. stilbene oxide, trans-stilbene oxide), and the derivatives (salts, esters, ethers, sugars, nucleotides, nucleosides, peptides and lipids) of said active substances which are suitable according to the invention.

The amount of the abovementioned antioxidants (one or more compounds) in the preparations according to the invention is preferably from 0.001 to 30% by weight, particularly preferably from 0.05 to 20% by weight, in particular from 0.1 to 10% by weight, based on the total weight of the preparation.

If vitamin E and/or derivatives thereof are used as the antioxidant or antioxidants, their respective concentrations are advantageously chosen from the range from 0.001 to 10% by weight, based on the total weight of the formulation.

If vitamin A or vitamin A derivatives or carotenes or derivatives thereof are used as the antioxidant or antioxidants, their respective concentrations are advantageously chosen from the range from 0.001 to 10% by weight, based on the total weight of the formulation.



According to the invention, the active ingredients (one or more compounds) can also very advantageously be chosen from the group consisting of lipophilic active ingredients, in particular from the following group:

5 Acetylsalicylic acid, atropine, azulene, hydrocortisone and derivatives thereof, e.g. hydrocortisone-17 valerate, vitamins, e.g. ascorbic acid and derivatives thereof, vitamins of the B and D series, very favourably vitamin B<sub>1</sub>, vitamin B<sub>12</sub> and vitamin D<sub>1</sub>, but also bisabolol, unsaturated fatty acids, namely the essential fatty acids (often also called  
10 vitamin F), in particular gamma-linolenic acid, oleic acid, eicosapentaenoic acid, docosahexaenoic acid and derivatives thereof, chloramphenicol, caffeine, prostaglandins, thymol, camphor, extracts or other products of vegetable or animal origin, for example evening primrose oil, starflower oil or currant seed oil, fish oils, cod-liver oil or also ceramides and ceramide-like compounds etc.

15 It is also advantageous to choose the active ingredients from the group of refatting substances, for example purcellin oil, Eucerit<sup>®</sup> and Neocerit<sup>®</sup>.

The list of specified active ingredients or active ingredient combinations which can be used in the Pickering emulsion sticks according to the invention is of course not intended  
20 to be limiting.

Cosmetic and dermatological stick preparations which are in the form of a sunscreen are also favourable. These preferably comprise at least one UV-A filter substance and/or at least one UV-B filter substance and/or at least one further inorganic pigment selected from  
25 the group consisting of the oxides of iron, zirconium, silicon, manganese, aluminium, cerium and mixtures thereof and also modifications in which the oxides are the active agents.

For the purposes of the present invention, it is, however, also advantageous to provide  
30 cosmetic and dermatological preparations whose main purpose is not protection against sunlight, but which nevertheless comprise substances which protect against UV. For example, UV-A and UV-B filter substances can be commonly incorporated into lip balm sticks.

35 The preparations according to the invention can advantageously comprise substances which absorb UV radiation in the UV-A and UV-B range, the total amount of filter

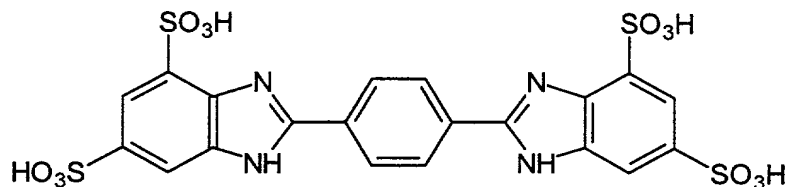
substances being, for example, from 0.1% by weight to 30% by weight, preferably from 0.5 to 20% by weight, in particular from 1.0 to 15% by weight, based on the total weight of the preparations, in order to provide cosmetic sticks which protect the skin from the whole range of ultraviolet radiation. They can also be used as sunscreens for the skin.

5

Advantageous UV-A filter substances for the purposes of the present invention are dibenzoyl methane derivatives, in particular 4-(tert-butyl)-4'-methoxydibenzoylmethane (CAS No. 70356-09-1), which is sold by Givaudan under the name Parsol® 1789 and by Merck under the trade name Eusolex® 9020.

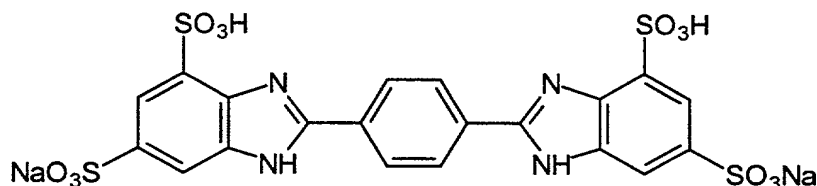
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Further advantageous UV-A filter substances are phenylene-1,4-bis(2-benzimidazolyl)-3,3'-5,5'-tetrasulphonic acid:



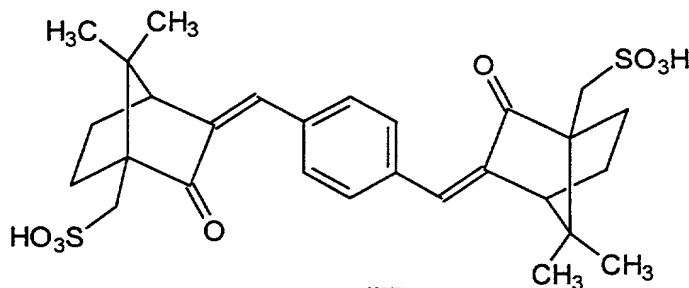
and its salts, particularly the corresponding sodium, potassium or triethanolammonium salts, in particular phenylene-1,4-bis-(2-benzimidazolyl)-3,3'-5,5'-tetrasulphonic bis-sodium salt:

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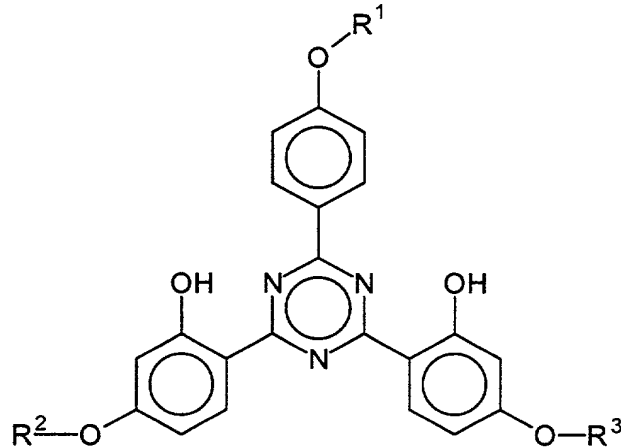
and 1,4-di(2-oxo-10-sulpho-3-bornylidenemethyl)benzene and salts thereof (in particular the corresponding 10-sulphato compounds, in particular the corresponding sodium, potassium or triethanolammonium salt), which is also referred to as benzene-1,4-di(2-oxo-3-bornylidenemethyl-10-sulphonic acid) and is characterized by the following structure:

20



Advantageous UV filter substances for the purposes of the present invention are also broad-band filters, i.e. filter substances which absorb both UV-A and UV-B radiation.

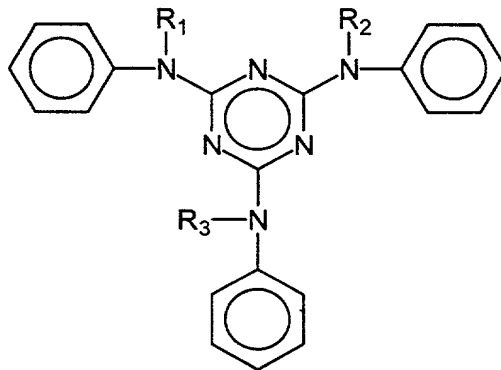
Advantageous broad-band filters and/or UV-B filter substances are, for example, bisresorcinyltriazine derivates having the following structure:



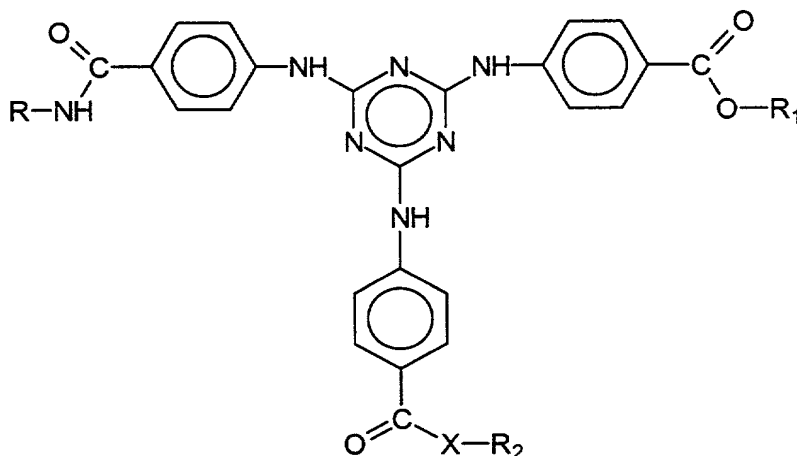
where  $R^1$ ,  $R^2$  and  $R^3$  independently of one another are chosen from the group of branched and unbranched alkyl groups having 1 to 10 carbon atoms, or are a single hydrogen atom. Particular preference is given to 2,4-bis[[4-(2-ethylhexyloxy)-2-hydroxy]phenyl]-6-(4-methoxyphenyl)-1,3,5-triazine (INCI: Aniso Triazine), which is available under the trade name Tinosorb® S from CIBA-Chemikalien GmbH, and to tris(2-ethylhexyl) 4,4',4''-(1,3,5-triazine-2,4,6-triyltriimino)trisbenzoate, synonym: 2,4,6-tris[anilino(p-carbo-2'-ethyl-1'-hexyloxy)]-1,3,5-triazine (INCI: Octyl Triazone), which is marketed by BASF Aktiengesellschaft under the trade name UVINUL® T 150.

15

Other UV filter substances which have the structural formula



are also advantageous UV filter substances for the purposes of the present invention, for example the s-triazine derivatives described in European Laid-Open Specification EP 570 838 A1, whose chemical structure is given by the generic formula

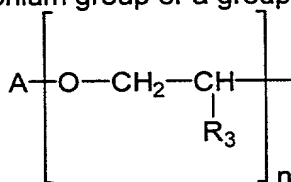


5 where

R is a branched or unbranched C<sub>1</sub>-C<sub>18</sub>-alkyl radical, a C<sub>5</sub>-C<sub>12</sub>-cycloalkyl radical, optionally substituted with one or more C<sub>1</sub>-C<sub>4</sub>-alkyl groups,

X is an oxygen atom or an NH group,

10 R<sub>1</sub> is a branched or unbranched C<sub>1</sub>-C<sub>18</sub>-alkyl radical, a C<sub>5</sub>-C<sub>12</sub>-cycloalkyl radical, optionally substituted by one or more C<sub>1</sub>-C<sub>4</sub>-alkyl groups, or a hydrogen atom, an alkali metal atom, an ammonium group or a group of the formula



in which

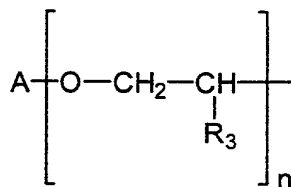
15 A is a branched or unbranched C<sub>1</sub>-C<sub>18</sub>-alkyl radical, a C<sub>5</sub>-C<sub>12</sub>-cycloalkyl or aryl radical, optionally substituted by one or more C<sub>1</sub>-C<sub>4</sub>-alkyl groups,

R<sub>3</sub> is a hydrogen atom or a methyl group,

n is a number from 1 to 10,

20 R<sub>2</sub> is a branched or unbranched C<sub>1</sub>-C<sub>18</sub>-alkyl radical, a C<sub>5</sub>-C<sub>12</sub>-cycloalkyl radical, optionally substituted by one or more C<sub>1</sub>-C<sub>4</sub>-alkyl groups, when X is the NH group, and

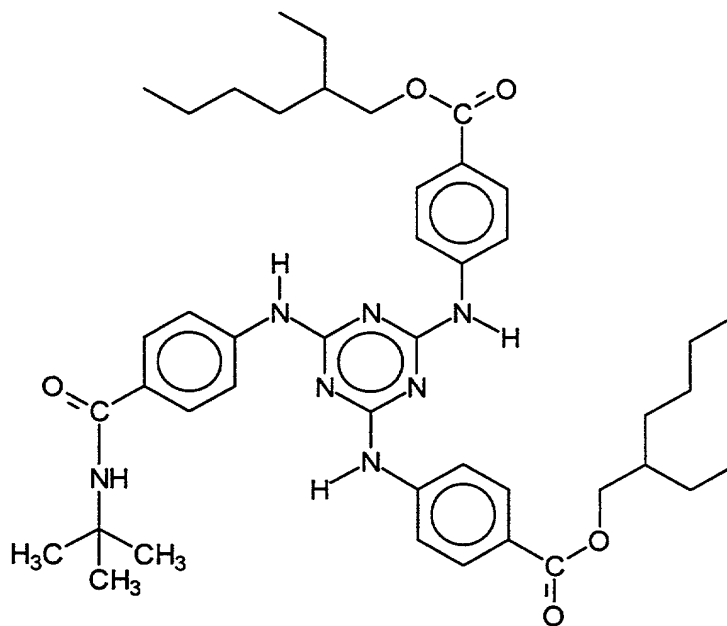
a branched or unbranched C<sub>1</sub>-C<sub>18</sub>-alkyl radical, a C<sub>5</sub>-C<sub>12</sub>-cycloalkyl radical, optionally substituted by one or more C<sub>1</sub>-C<sub>4</sub>-alkyl groups, or a hydrogen atom, an alkali metal atom, an ammonium group or a group of the formula



in which

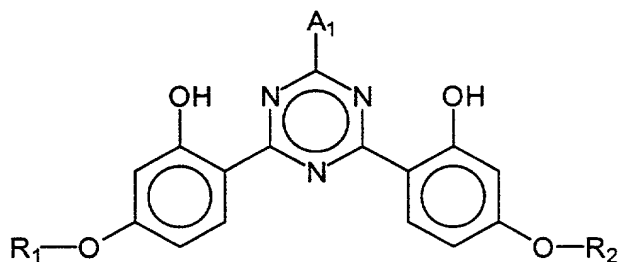
- A is a branched or unbranched C<sub>1</sub>-C<sub>18</sub>-alkyl radical, a C<sub>5</sub>-C<sub>12</sub>-cycloalkyl or aryl radical, optionally substituted by one or more C<sub>1</sub>-C<sub>4</sub>-alkyl groups,
- 5 R<sub>3</sub> is a hydrogen atom or a methyl group,
- n is a number from 1 to 10,
- when X is an oxygen atom.

- 10 A particularly preferred UV filter substance for the purposes of the present invention is also an unsymmetrically substituted s-triazine, the chemical structure of which is given by the formula



which is also referred to below as dioctylbutylamidotriazine (INCI: Dioctylbutamido-triazone) and is available under the trade name UVA-SORB HEB from Sigma 3V.

- 15 European Laid-Open Specification 775 698 also describes preferred bisresorcinyltriazine derivatives, the chemical structure of which is given by the generic formula

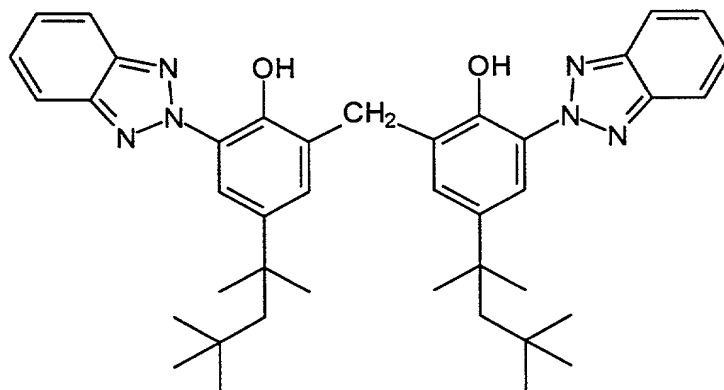


where  $R_1$ ,  $R_2$  and  $A_1$  represent very different organic radicals.

Also advantageous for the purposes of the present invention are 2,4-bis[[4-(3-sulphonato)-2-hydroxypropyloxy)-2-hydroxy]phenyl]-6-(4-methoxyphenyl)-1,3,5-triazine sodium salt, 2,4-bis[[4-(3-(2-propyloxy)-2-hydroxypropyloxy)-2-hydroxy]phenyl]-6-(4-methoxyphenyl)-1,3,5-triazine, 2,4-bis[[4-(2-ethylhexyloxy)-2-hydroxy]phenyl]-6-[4-(2-methoxyethyl-carboxyl)phenylamino]-1,3,5-triazine, 2,4-bis[[4-(3-(2-propyloxy)-2-hydroxypropyloxy)-2-hydroxy]phenyl]-6-[4-(2-ethylcarboxyl)phenylamino]-1,3,5-triazine, 2,4-bis[[4-(2-ethylhexyloxy)-2-hydroxy]phenyl]-6-(1-methylpyrrol-2-yl)-1,3,5-triazine, 2,4-bis[[4-tris(trimethylsiloxysilylpropyloxy)-2-hydroxy]phenyl]-6-(4-methoxyphenyl)-1,3,5-triazine, 2,4-bis[[4-(2"-methylpropenyloxy)-2-hydroxy]phenyl]-6-(4-methoxyphenyl)-1,3,5-triazine and 2,4-bis[[4-(1',1',1',3',5',5',5'-heptamethylsiloxy-2"-methylpropyloxy)-2-hydroxy]phenyl]-6-(4-methoxyphenyl)-1,3,5-triazine.

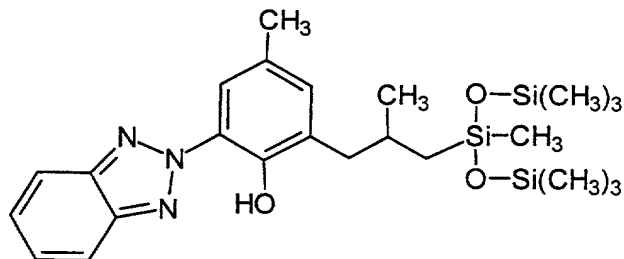
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An advantageous broad-band filter for the purposes of the present invention is 2,2'-methylenebis(6-(2H-benzotriazol-2-yl)-4-(1,1,3,3-tetramethylbutyl)phenol), which is characterized by the chemical structural formula



20 and is available under the trade name Tinosorb® M from CIBA-Chemikalien GmbH.

Another advantageous broad-band filter for the purposes of the present invention is 2-(2H-benzotriazol-2-yl)-4-methyl-6-[2-methyl-3-[1,3,3,3-tetramethyl-1-[(trimethylsilyl)oxy]disiloxanyl]propyl]phenol (CAS No.: 155633-54-8) having the INCI name Drometrizole Trisiloxane, which is characterized by the chemical structural formula



5

The UV-B filters can be oil-soluble or water-soluble. Examples of advantageous oil-soluble UV-B filter substances are:

- 10
- 3-benzylidenecamphor derivatives, preferably 3-(4-methylbenzylidene)camphor, 3-benzylidenecamphor;
  - 4-aminobenzoic acid derivatives, preferably 2-ethylhexyl 4-(dimethylamino)benzoate, amyl 4-(dimethylamino)benzoate;
  - 2,4,6-trianilino(p-carbo-2'-ethyl-1'-hexyloxy)-1,3,5-triazine;

15

  - esters of benzalmalonic acid, preferably di(2-ethylhexyl) 4-methoxybenzalmalonate,
  - esters of cinnamic acid, preferably 2-ethylhexyl 4-methoxycinnamate, isopentyl 4-methoxycinnamate;
  - derivatives of benzophenone, preferably 2-hydroxy-4-methoxybenzophenone, 2-hydroxy-4-methoxy-4'-methylbenzophenone, 2,2'-dihydroxy-4-methoxybenzophenone

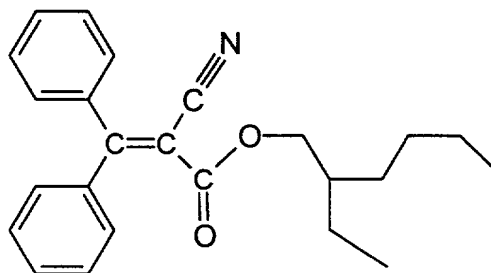
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  - and UV filters bonded to polymers.

Examples of advantageous water-soluble UV-B filter substances are:

- 25
- salts of 2-phenylbenzimidazole-5-sulphonic acid, such as its sodium, potassium or its triethanolammonium salt, and also the sulphonic acid itself;
  - sulphonic acid derivatives of 3-benzylidenecamphor, such as, for example, 4-(2-oxo-3-bornylidenemethyl) benzenesulphonic acid, 2-methyl-5-(2-oxo-3-bornylidenemethyl)sulphonic acid and salts thereof.

30 A further light protection filter substance which can be used advantageously according to the invention is ethylhexyl 2-cyano-3,3-diphenylacrylate (octocrylene), which is available from BASF under the name Uvinul® N 539 and is characterized by the following structure:



It can also be of considerable advantage to use polymer-bonded or polymeric UV filter substances in the preparations according to the present invention, in particular those described in WO-A-92/20690.

In some instances, it can also be advantageous to incorporate further UV-A and/or UV-B filters in accordance with the invention into cosmetic or dermatological preparations, for example certain salicylic acid derivatives, such as 4-isopropylbenzyl salicylate, 2-ethylhexyl salicylate (= octyl salicylate), homomenthyl salicylate.

The list of given UV filters which can be used for the purposes of the present invention is not of course intended to be limiting.

Preparations according to the invention can also be advantageously used as bases for cosmetic deodorants and antiperspirants, so that a particular embodiment of the present invention relates to Pickering emulsions as bases for cosmetic deodorant sticks.

Cosmetic deodorants are used to control body odour which arises when fresh perspiration, which is in itself odourless, is decomposed by microorganisms. Customary cosmetic deodorants are based on various modes of action.

In antiperspirants, astringents, mainly aluminium salts, such as aluminium hydroxychloride (aluminium chlorohydrate), reduce perspiration production.

The use of antimicrobial substances in cosmetic deodorants can reduce the bacterial flora on the skin. In an ideal situation, only the microorganisms which cause the odour should be effectively reduced. The flow of perspiration itself is not influenced as a result, and in ideal circumstances, only the microbial decomposition of perspiration is stopped temporarily.



The combination of astringents and antimicrobial active substances in one and the same composition is also common.

5 All active ingredients common for deodorants or antiperspirants can advantageously be used, for example odour masking agents, such as customary perfume constituents, odour-absorbers, for example the phyllosilicates described in German Laid-Open Specification DE 40 09 347, of these in particular montmorillonite, kaolinite, illite, beidellite, nontronite, saponite, hectorite, bentonite, smectite, and also, for example, zinc salts of ricinoleic acid. Antimicrobial agents are also suitable to be incorporated into the  
10 W/O emulsion sticks according to the invention. Advantageous substances are, for example, 2,4,4'-trichloro-2'-hydroxy diphenyl ether (Irgasan), 1,6-di(4-chlorophenylbiguanido)hexane (chlorhexidine), 3,4,4'-trichlorocarbanilide, quaternary ammonium compounds, oil of cloves, mint oil, thyme oil, triethyl citrate, farnesol (3,7,11-trimethyl-2,6,10-dodecatrien-1-ol) and also the active ingredients or active ingredient combinations  
15 described in DE-A-37 40 186, DE-A-39 38 140, DE-A-42 04 321, DE-A-42 29 707, DE-A-43 09 372, DE-A-44 11 664, DE-A-195 41 967, DE-A-195 43 695, DE-A-195 43 696, DE--A-195 47 160, DE-A-196 02 108, DE-A-196 02 110, DE-A-196 02 111, DE-A-196 31 003, DE-A-196 31 004 and DE-A-196 34 019, and the patent specifications DE-42 29 737, DE-42 37 081, DE-43 24 219, DE-44 29 467, DE-  
20 44 23 410 and DE-195 16 705. Sodium hydrogencarbonate can also be used advantageously.

The list of specified active ingredients and active ingredient combinations which can be used in the Pickering emulsion sticks according to the invention is of course not intended  
25 to be limiting.

The cosmetic deodorants according to the invention can be in the form of aqueous, cosmetic sticks which can be applied from normal containers.

30 The amount of antiperspirant active ingredients or deodorants (one or more compounds) in the preparations is preferably from 0.01 to 30% by weight, particularly preferably from 0.1 to 20% by weight, in particular 1-10% by weight, based on the total weight of the preparation.

35 The sticks according to the invention are additionally excellent vehicles for dermatological active ingredients. In particular, they are suitable as carriers for substances effective

against acne. Acne is a skin disorder with many forms and causes, characterized by noninflamed and inflamed bumps, originating from blocked hair follicles (comedones) which can lead to the formation of pustules, abscesses and scars. The most frequent is Acne vulgaris, which occurs predominantly in puberty. Causative conditions for Acne vulgaris are the keratinization and blocking of the hair follicle opening, the production of sebum, which is dependent on the level of male sex hormones in the blood, and the production of free fatty acids and tissue-damaging enzymes by bacteria (*Propionibacterium acnes*).

It is therefore advantageous to add to the preparations according to the invention, substances which are effective against acne, for example against *Propionibacterium acnes* (for example those described in DE-A 42 29 707, DE-A 43 05 069, DE-A 43 07 976, DE-A 43 37 711, DE-A 43 29 379) but also other substances which are effective against acne, for example all-trans-retinoic acid, 13-cis-retinoic acid and related substances or anti-inflammatory active ingredients, for example batyl alcohol ( $\alpha$ -octadecyl glyceryl ether), selachyl alcohol ( $\alpha$ -9-octadecenyl glyceryl ether), chimyl alcohol ( $\alpha$ -hexadecyl glyceryl ether) and/or bisabolol and antibiotics and/or keratolytics.

Keratolytics are substances which soften keratinized skin (such as, for example, warts, corns, calluses and the like) so that it can be removed more easily or so that it falls off or peels off.

All of the common substances effective against acne can be used advantageously, in particular benzoyl peroxide, bituminosulphonates (ammonium, sodium and calcium salts of shale oil sulphonic acids), salicylic acid (2-hydroxybenzoic acid), miconazole (1-[2-(2,4-dichlorobenzyloxy)-2-(2,4-dichlorophenyl)ethyl]imidazole) and derivatives, adapalene (6-[3-(1-adamantyl)-4-methoxyphenyl]-2-naphthoic acid), azelaic acid (nonanedioic acid), mesulfen (2,7-dimethylthianthrene,  $C_{14}H_{12}S_2$ ) and aluminium oxide, zinc oxide and/or finely dispersed sulphur.

The amount of anti-acne agents (one or more compounds) in the preparations is preferably from 0.01 to 30% by weight, particularly preferably 0.1-20% by weight, in particular 1-10% by weight, based on the total weight of the preparation.

W/O Pickering emulsion sticks according to the invention are obtainable by firstly dispersing the pigments in the fatty phase, and then combining the fatty phase with the aqueous phase.

- 5 The invention thus also provides a method for the preparation of the Pickering emulsion sticks according to the invention, which is characterized in that the modified phyllosilicate particles are dispersed in a manner known per se in the fatty phase, which comprises from 10 to 70% by weight, based on the weight of the fatty phase, of fatty and/or wax components which melt above a temperature of 40°C, and, if desired, cosmetic or
- 10 pharmaceutical auxiliaries, additives and/or active ingredients, with uniform stirring and optionally with heating, and, during the homogenization operation, the water phase, which, if desired, likewise comprises cosmetic or pharmaceutical auxiliaries, additives and/or active ingredients, is mixed with the fatty phase.
- 15 The examples below serve to illustrate the present invention without limiting it. The numerical values in the examples mean percentages by weight, based on the total weight of the respective preparations.

**Examples:****1. Moisturizing lipstick**

	<b>% by weight</b>
Carnauba wax	1.5
Candelilla wax	4
Beeswax	2
Microcrystalline wax	1
Jojoba oil	3
Lanolin oil	3
CI 77891 (TiO <sub>2</sub> )	3
CI 15880:1 (D&C Red 7)	2.3
CI 73360 (D&C Red 30)	0.7
Quaternium-18 hectorite (bentone 38)	0.5
Tocopherol	0.1
Lecithin	0.5
Glycerol	3
Water	50
Perfume, preservatives, antioxidants	q.s.
Ricinus communis	ad 100

**2. Lipstick containing ceramide**

	<b>% by weight</b>
Stearyl alcohol	2
Behenyl alcohol	2
Ceramide 3	0.2
C18-C38 Alkylhydroxystearoyl stearate	4
Candelilla wax	4
Shea butter	4
Lanolin oil	8
Macadamia oil	8
CI 15880:1 (D&C Red 7)	2
CI 17200 (D&C Red 33)	1.5
CI 42010:2 (FD&C Blue 1)	0.5
CI 77163 (BiOCl)	3

Quaternium-18 bentonite	0.4
Tromethamine magnesium aluminium silicate (Veegum Pro, Vanderbilt)	0.1
Panthenol	5
Water	40
Perfume, preservatives, antioxidants	q.s.
Ricinus communis	ad 100

### 3. Lipstick with high coverage

	% by weight
Jojoba oil	10
Isopropyl palmitate	5
Eucerite	0.3
C <sub>20</sub> -C <sub>40</sub> Alcohols (Luzatto & Figlio)	3
Carnauba wax	2.8
Candelilla wax	4.2%
Beeswax	2.8
Microcrystalline wax	2.8
Polyethylene	2
CI 77891 (TiO <sub>2</sub> )	3
CI 45380 (D&C Red 21)	0.8
CI 45380:3 (D&C Red 22)	3
CI 45410:2 (D&C Red 28)	2.5
Silica and mica (Micronasphere M, Merck)	5
Stearalkonium hectorite	0.3
Water	30
Perfume, preservative, antioxidants	q.s.
Ricinus communis	ad 100

### 4. Long-lasting lipstick

	% by weight
Dimethicone copolyol candelilla	7
Microcrystalline wax	8
Hydrogenated polyisobutene (Polysnylan, Nippon)	6
C <sub>18-38</sub> Alkylhydroxystearoyl stearate	1

Cyclomethicone (DC 344, Dow Corning)	28
Methicone and TiO <sub>2</sub> (methicone treated TiO <sub>2</sub> , US Cosmetics)	4
Boron nitride (Belsil BNP, Wacker)	5
CI 17200 (D&C Red 33)	1.5
CI 45380:3 (D&C Red 22)	2
Octyl salicylate	2
Octyl methoxycinnamate	2
Quaternium-18 hectorite	0.5
Water	40
Perfume, preservatives, antioxidants	q.s.

### 5. Lip gloss

	<b>% by weight</b>
Dimethicone copolyol candelilla	8.5
Microcrystalline wax	6
Squalane	6
C <sub>18-38</sub> Alkylhydroxystearoyl stearate	1.5
Lanolin oil	5
Brassica campestris/Aleurites fordii oil (Glossamer L-6600, Tri-K)	10
CI 45410:2 (D&C Red 28)	1.8
CI 45370:2 (D&C Orange 5)	0.6
CI 77492 (Iron oxide, yellow)	2
Iron oxide with aluminium and manganese oxide (Sicoparl, BASF)	3
Octyl salicylate	2
Water	30
Quaternium-18 hectorite	0.5
Perfume, preservatives, antioxidants	q.s.
Ricinus communis	ad 100

### 6. Lipstick free from mineral oil

	<b>% by weight</b>
Macadamia oil	18
Octyldodecanol	8

Beeswax	8
Cetyl palmitate	2
Jojoba oil	5
Carnauba wax	2
Tocopherol acetate	0.75
CI 77891 (TiO <sub>2</sub> )	1.8
CI 45380 (D&C Red 21)	0.8
CI 45380:3 (D&C Red 22)	2.2
CI 45410:2 (D&C Red 28)	1.6
Silica and mica (Micronasphere M, Merck)	5
Water	50
Quaternium-18 hectorite	0.5
Perfume, preservatives, antioxidants	q.s.
Squalane	ad 100

#### 7. Lustre foundation stick

	% by weight
Carnauba wax	1.8
Beeswax	1.2
Ozokerite	0.8
Stearyl methicone (Belsil SM 6018, Wacker)	1.5
Dimethicone	8
Petrolatum	2
Isostearyl isostearate	5
Silica coated with TiO <sub>2</sub> and Fe <sub>2</sub> O <sub>3</sub> (Ronaspheres LDP, Merck)	5
Titanium dioxide (and) mica (Timiron, Merck)	2
Quaternium-18 hectorite	0.8
Glycerol	3
Perfume, preservatives, antioxidants	q.s.
Water	ad 100

#### 8. Matt foundation stick

	% by weight
Dimethicone/vinyl dimethicone crosspolymer	2
Hydrolyzed beeswax	0.5

Hydrogenated Jojoba oil	2
Stearyl methicone (Belsil SM 6018, Wacker)	1.5
Dimethicone	8
Shea butter	3
Isostearyl isostearate	5
TiO <sub>2</sub>	1.5
Kaolin	1.2
CI 77491 (Iron oxide red)	0.3
CI 77492 (Iron oxide yellow)	0.6
CI 77499 (Iron oxide black)	0.15
Nylon-12 (Orgasol Number, Elf Atochem)	0.8
Octyl salicylate	2
Octyltriazone (Uvinul T 150)	2
t-Butylmethoxydibenzoylmethane (Parsol 1789)	1
Glycerol	5
Quaternium-18 hectorite	1
EDTA	0.5
Perfume, preservatives, antioxidants	q.s.
Water	ad 100

### 9. Sunscreen stick

	% by weight
Octyldodecanol	10
C <sub>12-15</sub> Alkyl benzoate	15
Octyltriazone	2
4-Methylbenzylidenecamphor	2
Butylmethoxydibenzoylmethane	2
Vitamin E acetate	0.5
Preservative	0.5
Beeswax	8
Carnauba wax	2
Bentone 38 (Quaternium-18 hectorite)	0.75
Glycerol	10
Phenylbenzimidazolesulphonic acid	2



NaOH (45% strength solution in water)	0.7
Water	40
Caprylic/capric triglyceride	ad 100

**10. Micropigment stick**

	<b>% by weight</b>
Octyldodecanol	10
Dicaprylyl ether	10
Vitamin E-acetate	0.5
Preservatives	0.5
Kester wax K82H (C <sub>20-40</sub> alkyl stearate)	8
Carnauba wax	4
Vaseline	5
Bentone 38 (Quaternium-18 hectorite)	0.3
Titanium dioxide	5
Zinc oxide	5
Glycerol	10
Water	30
Caprylic/capric triglyceride	ad 100

**11. Deodorant stick**

	<b>% by weight</b>
Caprylic/capric triglyceride	15
Octyldodecanol	10
Dicaprylyl ether	5
Glyceryl monolaurate	0.5
Kester wax K82H (C <sub>20-40</sub> alkyl stearate)	15
Bentone 38 (quaternium-18 hectorite)	1
Glycerol	5
Aluminium chlorohydrate	5
Water	35
Ricinus communis	ad 100

**Patent Claims**

1. Cosmetic or dermatological stick preparations, which are finely disperse systems of the water-in-oil type, comprising
- 5 a) an oil phase which comprises from 10 to 70% by weight, based on the weight of the fatty phase, of fatty and/or wax components which melt above a temperature of 40°C,
- b) a water phase,
- 10 c) at least one modified phyllosilicate which exhibits both hydrophilic and lipophilic properties, which thus has amphiphilic character and positions itself at the water/oil interface, and
- d) at most 0.5% by weight of one or more emulsifiers.
2. Preparation according to Claim 1, characterized in that it is emulsifier-free.
- 15 3. Preparation according to Claim 1 or 2, characterized in that the water phase content is chosen from the range from 15 to 60% by weight, based on the total weight of the preparations.
- 20 4. Preparation according to one of the preceding claims, characterized in that further cosmetic or pharmaceutical auxiliaries, additives and/or active ingredients are present.
5. Preparation according to one of the preceding claims, characterized in that the
- 25 content of modified phyllosilicate particles used is between 0.1% by weight and 30% by weight, based on the total weight of the preparations.
6. Preparation according to one of the preceding claims, characterized in that the modified phyllosilicate(s) is/are chosen from the group which includes modified
- 30 smectites, modified bentonites, modified montmorillonites and modified hectorites, in particular from the group consisting of stearalkonium hectorite and quaternium-18 hectorite.
7. Preparation according to one of the preceding claims, characterized in that, in
- 35 addition to one or more modified phyllosilicates, further pigments are present, in particular modified polysaccharides and/or microfine polymer particles and/or boron

nitride and/or micronized, inorganic pigments which are chosen from the group of amphiphilic metal oxides, in particular from the group consisting of titanium dioxide, zinc oxide, iron oxides or iron mixed oxides, silicon dioxide or silicates, where the pigments can be present either individually or in a mixture.

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8. Preparation according to one of the preceding claims, characterized in that it is in the form of a make-up and/or cosmetic stick, in particular an eyebrow pencil, kohl pencil, eyeshadow pencil, eyeliner pencil, concealer stick, powder stick or decorative and/or care lipstick, and additionally comprises at least one dye and/or one colour pigment.

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9. Preparation according to one of the preceding claims, characterized in that it comprises one or more additives or active ingredients from the group of astringents and/or antioxidants and/or UV filter substances and/or antimicrobial substances and/or substances which are effective against acne.

15

10. Method for the preparation of Pickering emulsion sticks, characterized in that modified phyllosilicate particles are dispersed in a manner known per se in the fatty phase, which comprises from 10 to 70% by weight, based on the weight of the fatty phase, of fatty and/or wax components which melt above a temperature of 40°C, and, if desired, cosmetic or pharmaceutical auxiliaries, additives and/or active ingredients, with uniform stirring and optionally with heating, and, during the homogenization operation, the water phase, which, if desired, likewise comprises cosmetic or pharmaceutical auxiliaries, additives and/or active ingredients, is mixed with the fatty phase.

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### Abstract

Cosmetic or dermatological stick preparations, which are finely disperse systems of the water-in-oil type, comprising

- a) an oil phase which  
comprises from 10 to 70% by weight, based on the weight of the fatty phase, of fatty and/or wax components which melt above a temperature of 40 °C,
  - b) a water phase,
  - c) at least one modified phyllosilicate which exhibits both hydrophilic and also lipophilic properties, which thus has amphiphilic character and positions itself at the water/oil interface, and
  - d) at most 0.5% by weight of one or more emulsifiers
- and method for their preparation.

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## COMBINATION DECLARATION & POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled „**Hydrous cosmetic or pharmaceutical sticks**“ the specification of which is attached hereto.

-OR-

was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_ and was amended \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			<u>Priority Claimed</u>
<u>199 39 835.6</u> (Number)	<u>Germany</u> (Country)	<u>21/08/1999</u> (Day/Month/Yr. Filed)	<input checked="" type="checkbox"/> yes [ ] no
_____	_____	_____	<input type="checkbox"/> yes [ ] no
(Number)	(Country)	(Day/Month/Yr. Filed)	

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punished by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named Inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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